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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Leonard Jan-Peter Ketelsen, et al.

Serial No.: N/A

Filed: Herewith

For: AN OPTICAL DEVICE AND METHOD OF MANUFACTURE THEREOF

Group No.: N/A

Examiner: N/A

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449. Copies of the listed references are submitted herewith.

U.S. Patent No.

Inventor

Date

6,277,663 B1
5,783,844

Matsumoto et al.
Kobayashi et al.

August 21, 2001
July 21, 1998

Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement. The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment to Deposit Account No. 08-2395.

Respectfully submitted,

HITT GAINES, P.C.

A handwritten signature in cursive script, appearing to read "Charles W. Gaines", is written over a horizontal line.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known

Application Number	N/A
Filing Date	Herewith
First Named Inventor	Leonard Jan-Peter Ketelsen
Art Unit	N/A
Examiner Name	N/A
Attorney Docket Number	TRIQ-124286

Sheet	1	of	1
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U. S. PATENT DOCUMENTS

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FOREIGN PATENT DOCUMENTS

[illegible]

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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